SANGER HEART & VASCULAR INSTITUTE
An inside look at outcomes and innovation

Carolinas HealthCare System
Sanger Heart & Vascular Institute
The choice for advanced cardiovascular care

For more than 50 years, Sanger Heart & Vascular Institute has delivered world-class care across a broad range of heart and vascular conditions.

Today, that commitment to patients and the breakthroughs that help them live longer continues to thrive. In this report, explore our broad range of specialties and see how our outcomes and clinical innovators place us among the nation’s leaders.

Need to refer a patient? We offer life-saving care at Carolinas Medical Center, part of Carolinas HealthCare System, in Charlotte, NC, and at more than 20 other locations in North and South Carolina. We work together closely with referring physicians throughout the treatment process until we can transition each patient back for ongoing care. Call 877-999-7484.

WHAT’S INSIDE

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ONE NETWORK CONNECTED BY EXCELLENCE

When it comes to comprehensive cardiac care, Sanger Heart & Vascular Institute offers the broadest range of treatments possible. From minimally invasive surgery to the latest in interventional cardiology, we care for the most complex cases – with the quality and compassion you expect from a pioneer.

TOP IN HEART TRANSPLANT

One-year survival rate

98.15%
Carolinas Medical Center
90.04%
Nationally

(Adult only, unadjusted
Scientific Registry of Transplant Recipients, June 2016)

MORE EXPERIENCE IN MORE PLACES

100+ physicians
75+ advanced care practitioners
20+ locations in the Carolinas

174,000+ total visits
Adult ambulatory cardiology visits for 2016 (annualized)

PUTTING PATIENTS AND FAMILIES FIRST

92nd PERCENTILE
2016 Patient Satisfaction Score
Press Ganey Regional Peer Group

TRAILBLAZING INNOVATORS

Frank R. Arko III, MD
First in the world to implant a branched stent graft specifically designed for branch vessel repair of a thoracic aortic aneurysm

Joseph T. McGinn, MD
Developed the pre-eminent technique in minimally invasive coronary artery bypass grafting

COMMITTED TO VALUE-BASED CARE

Atrial fibrillation ablation outcomes

4.5%
30-day readmission rate

*Shah et al, Jan. 2012
CARDIOTHORACIC SURGERY

One team. A unified network of hospitals. The full spectrum of the latest surgical treatments.

Our expertise in cardiothoracic surgery is built upon extensive capabilities and trailblazing pioneers, like Joseph T. McGinn, MD, who developed the pre-eminent technique in minimally invasive coronary artery bypass grafting.

While quaternary-level-care patients are treated at Carolinas Medical Center, tertiary-level care is offered at Carolinas HealthCare System Pineville and Carolinas HealthCare System NorthEast.

Refer a patient: 877-999-7484
EXPERIENCE WHERE IT COUNTS

1,491 ISOLATED CABG PROCEDURES IN 3 YEARS

650
Carolina Medical Center

494
Carolina HealthCare System NorthEast

347
Carolina HealthCare System Pineville

1,491
TOTAL


MORE THAN

1,000
minimally invasive CABGs
performed by Joseph T. McGinn, MD – more than anyone else in the world

1,600
general thoracic surgery procedures
Carolina Medical Center,
Carolina HealthCare System NorthEast,
Carolina HealthCare System Pineville
(2014–2016)

AREAS OF SPECIALTY

• Minimally invasive valve surgery
• Complex thoracic aortic surgery
• Coronary artery bypass grafting (CABG)
  – Open heart surgery
  – Minimally invasive heart surgery
• Isolated valve surgery
• Cardiac transplantation and mechanical circulatory support
• Thoracic surgery
• Video-assisted thoracic surgery (VATS), including pulmonary lobectomies
Speed and coordination are the hallmarks of exceptional acute cardiac care.

That’s why Sanger’s STEMI program is proud to be a national leader in treatment response times for patients who present with myocardial infarction symptoms. Our median door-to-balloon times for transfers are consistently 16 minutes faster than the national average. Plus, our nine Chest Pain Centers – accredited by the Society of Cardiovascular Patient Care – help make up the region’s largest chest pain network, which offers cardiac catheterization around the clock at three of our facilities.

Thousands of patients with coronary artery disease are treated by our expert cardiologists each year using the latest percutaneous coronary interventions (PCIs). In addition, we’ve participated in a range of PCI research trials, including the ABSORB bioresorbable stent study that led to the only fully dissolving heart stent approved by the FDA.
PERFORMANCE AT THE MOST CRITICAL MOMENTS

16 minutes faster D2B
Door-to-balloon times vs. national average (first D2B median time – transfers 2015–2016)
ACTION Registry®, Sept. 2016

2,825 PCI procedures
Carolinas Medical Center, Carolinas HealthCare System Blue Ridge Morganton, Carolinas HealthCare System NorthEast, Carolinas HealthCare System Pineville, Carolinas HealthCare System Union (July 2015–June 2016)
CathPCI Registry®, Nov. 2016

Mission: Lifeline®
Gold Plus rating

ACTION Registry®-GWTG™
Performance Achievement Award Recipient
Platinum rating

CathPCI Registry®
4 stars out of 4

AREAS OF SPECIALTY

• Percutaneous coronary intervention
  – Acute ST elevation myocardial infarction (STEMI)
  – Acute coronary syndromes, including unstable angina and non-ST elevation myocardial infarction (NSTEMI)
  – Stable angina
• Chronic total occlusion
HEART FAILURE & CARDIOMYOPATHY

Sanger is proud to count itself among the national leaders in advanced heart failure care.

While our goal is to diagnose and treat heart failure early to prevent progression, our team of specialists offers the broadest range of advanced therapies and mechanical circulatory support available to help patients achieve best-in-class outcomes.

As evidence of our continued commitment to innovation, Sanger’s Heart Success program has been designed to treat even the most complex cases. Led by multidisciplinary teams of physicians, dietitians, pharmacists, patient navigators, social workers and specially trained nurses, we’re highly experienced in managing high-risk patients. We also offer a virtual component of the Heart Success program that makes advanced care available to patients who live far from our facilities.

AREAS OF SPECIALTY

- Heart transplant
- Pulmonary hypertension – only facility in region to provide specialized care
- Hypertrophic cardiomyopathy – one of a few dedicated clinics nationwide
- Septal myectomy
- Ventricular assist device (VAD)
- Total artificial heart implant

Refer a patient: 877-999-7484
LEADING THE WAY IN HEART FAILURE TREATMENT

in the Carolinas to implant a total artificial heart

1st

35+

heart transplants

2016 (annualized)

Internal data, Nov. 2016

ONE-YEAR PATIENT SURVIVAL

<table>
<thead>
<tr>
<th>Hospital</th>
<th>1-Year Survival</th>
</tr>
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<tbody>
<tr>
<td>Carolinas Medical Center</td>
<td>98.15%</td>
</tr>
<tr>
<td>Nationally</td>
<td>90.04%</td>
</tr>
<tr>
<td>Duke University Hospital</td>
<td>88.97%</td>
</tr>
<tr>
<td>University of North Carolina Hospital</td>
<td>92.86%</td>
</tr>
<tr>
<td>Medical University of South Carolina</td>
<td>59.34%</td>
</tr>
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Scientific Registry of Transplant Recipients, June 2016

TRANSMEDIAN LENGTH OF HOSPITAL STAY

<table>
<thead>
<tr>
<th>Hospital</th>
<th>Length of Stay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carolinas Medical Center</td>
<td>9 days</td>
</tr>
<tr>
<td>Duke University Hospital</td>
<td>18.5 days</td>
</tr>
<tr>
<td>University of North Carolina Hospital</td>
<td>15.5 days</td>
</tr>
<tr>
<td>Medical University of South Carolina</td>
<td>13.5 days</td>
</tr>
</tbody>
</table>

Transplants from Jan.–Dec. 2015
Scientific Registry of Transplant Recipients, June 2016
AORTIC & VASCULAR DISEASE

With some of the most skilled teams in the world, our aortic and vascular programs are at the forefront of delivering life-saving care.

Vascular Surgery and Medicine Program
Sanger performs more than 2,500 vascular procedures annually, making us one of the highest-volume centers in the region. Providing complete vascular care across the continuum, our collaborative team includes more than a dozen experts specializing in vascular imaging, vascular medicine and vascular surgery to develop the best treatment plan for each patient.

Center for Aortic Disease
Our aortic program has become an international resource for physicians looking for the most innovative care. Led by Frank R. Arko III, MD, the Sanger surgical team became the first in the world to implant a branched stent graft specifically designed for branch vessel repair of a thoracic aortic aneurysm. Dr. Arko recently became the first surgeon in the Southeast to implant a thoracic stent graft for a descending thoracic aortic aneurysm.

Physicians from around the U.S., as well as Europe and Asia, come to Sanger – some for months at a time – to train under Dr. Arko and our aortic program.

As the only center in the region that participated in both the Mona LSA and Zone Zero clinical trials, we continue to push the boundaries with treatments that include traditional open procedures and minimally invasive endovascular repair techniques.

For patients with ruptured abdominal aortic aneurysm, we’ve developed a rapid-response Code Rupture program that can quickly assess patients while simultaneously coordinating our medical teams to be on standby in our hybrid operating room. It’s one more way we set ourselves apart in saving lives.
VOLUMES THAT GO ABOVE AND BEYOND

TOP 5 for TEVAR

Carolinas Medical Center, Carolinas HealthCare System Cleveland,
Carolinas HealthCare System NorthEast, Carolinas HealthCare System Pineville
(2014–2016)


MEDTRONIC, 2016

230 TEVAR procedures

TOP 5 for EVAR

Carolinas Medical Center, Carolinas HealthCare System Cleveland,
Carolinas HealthCare System NorthEast, Carolinas HealthCare System Pineville
(2014–2016)


782 EVAR procedures

AREAS OF SPECIALTY

• Aortic dissection repair
• Aortic root aneurysm repair
• Thoracic endovascular aortic repair (TEVAR/EVAR)
• Abdominal aortic aneurysm repair
• Thoracoabdominal aortic aneurysm repair
• Complex aortic arch reconstruction
• Carotid endarterectomy (CEA)
• Endarterectomy

• Deep venous
  – Iliac vein angioplasty and stent
  – Saphenous vein ablation
  – Phlebectomy
  – Sclerotherapy
• Thoracic outlet surgery
• Limb salvage, including bypass and percutaneous interventions
• Code Rupture
• Code Dissection
VALVE & STRUCTURAL HEART DISEASE

Sanger’s Center for Advanced Heart Valve Therapies is leading the country in treating some of the most complex structural heart and valve conditions.

As one of the first regional providers of transcatheter aortic valve replacement (TAVR) outside of clinical trials, we are committed to advancing this less invasive technology with excellent outcomes. We are currently participating in the PARTNER III trial to evaluate TAVR in low surgical risk populations.

We are a high-volume center in transcatheter mitral valve repair since its FDA approval in 2013, and we are one of the only centers in the Southeast to perform balloon mitral valvuloplasty for mitral stenosis.

Sanger is one of only a few centers in the country with expertise in transcatheter closure of paravalvular leaks.

Refer a patient: 877-999-748412
MAKING THE MOST OF TRANSCATHETER INNOVATIONS

**TAVR**

140 procedures
2016 (annualized)

0% in-hospital observed mortality
April 2015–March 2016 (unadjusted)

Internal data, Nov. 2016

40 mitral leaflet clip procedures
April 2015–March 2016
A high-volume center since FDA approval in 2013

TVT, July 2016

AREAS OF SPECIALTY

- Valve surgery, including open heart and minimally invasive, to repair or replace the mitral or aortic valve
- Transcatheter aortic valve replacement (TAVR)
- Transcatheter mitral valve repair
- Transcatheter closure of paravalvular leaks
- Transcatheter closure of the left atrial appendage
ADULT CONGENITAL HEART DISEASE

For the growing population of adults with congenital heart defects, Sanger offers the region’s only program specializing in adult congenital heart disease (ACHD) treatment.

Each patient works with our ACHD experts to develop a comprehensive care plan that addresses medications, lifestyle modifications and potential surgical interventions, including advanced procedures such as percutaneous pulmonary valve replacement or percutaneous closure of patent foramen ovale.

Our team has completed hundreds of ACHD-related surgeries in just the past six years. During that time, Sanger specialists have worked with referring physicians to plan surgical and interventional treatments across a patient’s complete journey from evaluation to follow-up.

Sanger is one of only a few centers in the region with expertise in congenital pulmonary valve surgery.

GROWING IN OUR EXPERTISE

277 adult congenital heart disease surgeries
Carolinas Medical Center 2010–2016

107% increase from 2010–2016
Surginet, Sept. 2016

AREAS OF SPECIALTY

• Percutaneous valve replacement
• Pulmonary valve repair/replacement
• Tricuspid valve replacement
• Congenital pulmonary valve surgery

• Patent foramen ovale (PFO) closure
• Atrial septal defect (ASD) closure
• Fontan revision

Refer a patient: 877-999-7484
Equipped with the latest technologies, our cardiac imaging specialists work hand in hand with providers across Sanger, all focused on advancing patient outcomes.

Our imaging specialists are in the operating room to evaluate the quality of a cardiac repair. They collaborate with heart failure specialists to assess left ventricular functioning or with oncologists to detect chemotherapy-related cardiac changes. For us, imaging is integral to comprehensive cardiac care.

We are one of the few heart centers in the region to offer fractional flow reserve CT (FFRCT) technology, a groundbreaking diagnostic test that enables clinicians to identify significant coronary artery disease without a diagnostic catheterization. This non-invasive technology greatly reduces unnecessary invasive testing, saving healthcare costs while improving the patient experience.

**AT THE FOREFRONT OF TECHNOLOGY**

200+

**FFRCT procedures**

in 2016

*Internal data, Nov. 2016*

**AREAS OF SPECIALTY**

- Transthoracic and transesophageal 3D imaging
- Cardiac computed tomography angiography (CTA)
- Cardiac magnetic resonance imaging (MRI)
- Myocardial perfusion imaging for viability and ischemia
- Cardio-oncology
ELECTROPHYSIOLOGY

With one of the largest networks in the country, the electrophysiology program at Sanger offers world-class heart rhythm care close to home.

Our 11 dedicated electrophysiologists treat a wide array of arrhythmias and related complications using cutting-edge technology and personalized, evidence-based care.

With five hospital implant locations and 12 device management clinics, we offer one of the largest electrophysiology networks in the country, following more than 11,000 patients using remote monitoring capabilities.

Our expertise in lead extraction and device-based heart failure diagnostics has helped make Sanger a training facility for practitioner teams from across the globe, including Europe, Asia and Australia, who consult with our Cardiac Rhythm Device Management Center of Excellence on an ongoing basis.

Our dedicated Center for Atrial Fibrillation offers a vertically integrated approach to atrial fibrillation care, with a team that includes clinical cardiac electrophysiologists, cardiothoracic surgeons, imaging cardiologists, cardiac anesthesiologists, sleep medicine specialists and anticoagulation specialists. Together they help our patients achieve superior outcomes, such as ablation readmission rates that are half the national average.
**CLINICAL EXCELLENCE BY THE NUMBERS**

### ELECTROPHYSIOLOGY

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**833**

ICD procedures
April 2015–March 2016


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**0%**

In-lab mortality for lead extraction
2013–2016

*Internal data, Nov. 2016*

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**4 stars out of 4**

ICD Registry™

*Shah et al, Jan. 2012*

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### ATRIAL FIBRILLATION ABLATION OUTCOMES

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<tr>
<th></th>
<th>30-day readmission rate</th>
<th>Total complication rate</th>
</tr>
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<tbody>
<tr>
<td>Same-facility readmission rate only</td>
<td>4.5%</td>
<td>1.2%</td>
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<tr>
<td>(procedure through discharge)</td>
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*Internal data, Nov. 2016*

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### DEVICE MANAGEMENT ENCOUNTERS

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<th>Year</th>
<th>In-person</th>
<th>Remote monitoring</th>
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<tr>
<td>2013</td>
<td>20,268</td>
<td>11,202</td>
</tr>
<tr>
<td>2014</td>
<td>19,653</td>
<td>12,546</td>
</tr>
<tr>
<td>2015</td>
<td>22,259</td>
<td>15,792</td>
</tr>
<tr>
<td>2016</td>
<td>20,138</td>
<td>18,505</td>
</tr>
</tbody>
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*Shah et al, Jan. 2012*

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### AREAS OF SPECIALTY

- **Ablation**
  - Atrial fibrillation
  - Ventricular tachycardia
- **Lead extraction**

- **Devices**
  - Cardiac pacemakers
  - Implantable cardiac defibrillators (ICDs)
  - Cardiac resynchronization therapy (CRT-P, CRT-D)
  - Subcutaneous ICDs (SICDs)

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*Internal data, Nov. 2016*
At Sanger, innovation takes many forms, but they all have one central goal: to improve the treatment of cardiovascular disease.

With leadership roles in top clinical trials across multiple disciplines, Sanger has broadened and deepened its research over the past decade. Many of our physicians take part in pioneering clinical studies, from the COAPT study that evaluated the first transcatheter mitral valve repair therapy device for heart failure patients with moderate to severe functional mitral regurgitation, to the PARTNER III trial that is assessing TAVR in low surgical risk populations. We are currently a top-enrolling site for several studies, including the ALLSTAR stem cell therapy study.

Innovation also comes in the shape of new protocols, such as our Heart Success program for heart failure patients that can be delivered virtually, ensuring high-quality care no matter where a patient lives. Another example is our Code PE program, a treatment algorithm developed at Sanger that offers a step-by-step guide for managing pulmonary embolism patients for optimal outcomes.
ACTIVE IN CLINICAL RESEARCH

53 CLINICAL TRIALS

- ADVANCE registry for fractional flow reserve CT (FFRCT)
- ALLSTAR stem cell therapy for patients who have had a previous MI
- Bridge Occlusion Balloon Initial Use in Humans study
- COAPT transcatheter mitral valve repair in secondary MR
- HeartMate 3 Left Ventricular Assist System (LVAS)
- Mona LSA early feasibility study for descending thoracic aneurysms
- PARTNER III study of TAVR in low surgical risk populations
- REDUCE study for atrial septal defects (ASDs) closure (cardioform septal occluder)
- SAPIEN 3 transcatheter heart valve study
- Zone Zero thoracic aortic graft trial

436 enrolled research patients

CONTRIBUTING TO SCIENCE

161 publications, abstracts and presentations in 2015

37 Publications

32 Abstracts

92 Presentations

Internal data, Nov. 2016

Carolinas HealthCare System | Sanger Heart & Vascular Institute
VALUE-BASED CARE

The highest caliber of cardiac care, wherever patients receive it. That’s how Sanger brings greater value to healthcare.

Our broad, integrated network in the Carolinas allows us to deliver coordinated care across geographies and disciplines in a way that emphasizes quality and efficiency. Whether we’re providing virtual follow-up to a heart failure patient in one city or cardiac rehabilitation in another, all of our subspecialties work with the same processes and goals, and our facilities operate at the highest quality standards, with providers who have participated in standardized training and educational efforts. That’s how we’ve dramatically lowered retesting and readmission rates, increased our patient satisfaction ratings and held down the cost of care.

We’ve instituted care pathways – for example, in acute myocardial infarction (AMI), atrial fibrillation and chest pain – that help us reduce variability in treatment and achieve more reliable outcomes. Where external benchmarks do not exist for some procedures – for example, in device management – we’ve developed our own internal quality measures to track our outcomes. Overall, by keeping equipment costs under control, establishing medication protocols and stressing evidence-based practice, we’ve made healthcare delivery more standardized, more efficient and even more in line with patients’ diverse needs.
CREATING MORE VALUE IN HEALTHCARE

30-DAY READMISSIONS

<table>
<thead>
<tr>
<th>Same-facility observed/expected ratio</th>
<th>0.82</th>
<th>Heart failure</th>
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<tbody>
<tr>
<td></td>
<td>0.74</td>
<td>AMI</td>
</tr>
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92nd PERCENTILE

2016 Patient Satisfaction Score

Press Ganey Regional Peer Group


5 CARDIAC REHABILITATION FACILITIES

1,239 new starts

81,214 total visits

2016 (annualized)

Internal data, Nov. 2016

170+ virtual visits

HEART SUCCESS

Internal data, Nov. 2016
Sanger Heart & Vascular Institute acts as one coordinated network, seamlessly delivering the specialty care your patients need.

At any one of our more than 20 locations across the Carolinas, patients receive more than just conveniently located expert care. They gain access to internationally recognized physicians, emerging technologies and life-saving research that can’t be found anywhere else in the region.
MAKE A REFERRAL

Collaboration and patient-centered care are at the heart of our provider referral process.

When you refer your patients to Sanger Heart & Vascular Institute, we’ll work together closely until we can transition each patient back to you for ongoing care post-treatment. And no matter where you’re located – in the Carolinas or beyond – we’ll find the right specialist for your most complex cases.

Our Global Patient Services program makes it easy for patients coming from all over the world. We coordinate medical appointments, travel needs and any other arrangements so patients and their families can focus on their treatment.

To make a referral to any Sanger Heart & Vascular Institute location, contact a referral coordinator at 877-999-SHVI (7484)

Our representatives are available 24 hours a day, seven days a week.